



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mitsuru IWASAKI et al.
Title: COUNTERFLOW HEAT EXCHANGER
Appl. No.: 10/575,892
International 10/13/2004
Filing Date: 371(c) Date: 04/14/2006
Examiner: Tho V. Duong
Art Unit: 3744
Confirmation Number: 5511
Number:

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted after payment of the Issue Fee. Pursuant to 37 C.F.R. §1.97(i), Applicants respectfully request that the submitted information be placed in the application file.

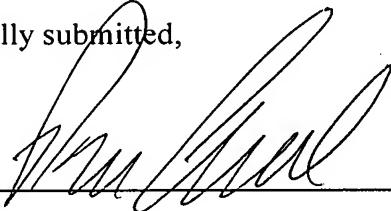
Reference A1 is cited on page 1 of the present specification (page 1 of the specification should refer to Japanese Patent Application No. 2002-303498).

Reference A2 is an additional reference cited in the International Search Report submitted earlier in prosecution.

Although Applicant believes that no fee is required for this Request, the Commissioner is hereby authorized to charge any additional fees which may be required for this Request to Deposit Account No. 19-0741.

Respectfully submitted,

By _____


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| <p>Substitute for form 1449/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>Date Submitted: August 7, 2007</p> <p>(use as many sheets as necessary)</p> | | | | <p>Complete if Known</p> <p>Application Number 10/575,892</p> <p>Filing Date 10/13/2004</p> <p>First Named Inventor Mitsuru IWASAKI</p> <p>Art Unit 3744</p> <p>Examiner Name Tho V. Duong</p> <p>Attorney Docket Number 047084-0137</p> | |
| Sheet | 1 | of | 1 |  | |

U.S. PATENT DOCUMENTS

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS

| SIX SELECTED U.S. PATENT APPLICATION DOCUMENTS | | | | | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--|--------------------------|--|--|--|--|
| Examiner Initials* | Cite No. ¹ | U.S. Patent Application Document | Filing Date of Cited Document MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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FOREIGN PATENT DOCUMENTS

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|--------------------------|-----------------------|--|--------------------------------|---|--|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Documents | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ Number ⁴ Kind Code ⁵ (if known) | | | | |
| | A1 | JP 2002-303498 | 10-18-2002 | TOYO RADIATOR CO LTD | | Ab |
| | A2 | JP 60-78619 (U) | 06-01-1985 | TOYOTA MOTOR CORP | | |
| | | | | | | |

NON PATENT LITERATURE DOCUMENTS

| | | | |
|--------------------|-----------------------|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ⁶ |
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| Examiner Signature | | Date Considered | |
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***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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